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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket Number 006878.114501	
Applicant(s): Emerson Jones et al.			
Serial No. 10/676,297	Filing Date September 30, 2007	Examiner Ali, M	Group Art Unit 3609

Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY

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Information Disclosure Statement (1 page);
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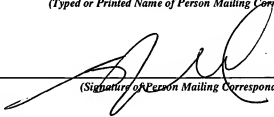
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	: Group Art Unit: 3609
Serial No.: 10/676,297	: Examiner: Ali, M
Filed: September 30, 2003	: Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A	:
Capital Structure For A Company	:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: July 20, 2007

By: 

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PTQ/SPACB (09-08)

Approved for use through 03/31/2007. OMB 0851-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 7 of 3

Complete If Known

Application Number	10/676,297
Filing Date	Sept. 30, 2003
First Named Inventor	Jones
Art Unit	3609
Examiner Name	Ali-M
Attorney/Agent Number	608878-11451

U. S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Page, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code*	Patent*		
	7/154	US 2002/0046144	04-14-2002	Graft	
		US 2002/0065755	05-30-2002	Shlyfman et al.	
		US 2002/0073007	06-13-2002	Ayache	
		US 2002/0099440	07-25-2002	Lange	
		US 2002/0103738	08-01-2002	Grisbe et al.	
		US 2002/0147470	10-10-2002	Lange	
		US 2002/0178101	11-28-2002	Swift	
		US 2003/0014337	01-16-2003	Matthews et al.	
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		US 2003/0028468	02-06-2003	Wong et al.	
		US 2003/0069817	04-10-2003	Graft	
		US 2003/0086984	02-20-2003	Jones	
		US 2003/0078870	04-24-2003	Dajer et al.	
		US 2002/0161125	05-29-2003	McGill et al.	
		US 2003/0155124	06-19-2003	Lange	
		US 2003/0130941	07-10-2003	Birke, Jr. et al.	
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		US 2003/0135446	07-17-2003	Birke, Jr. et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cited No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear	Y*
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Examiner
Signature

/Mohamed Ali/

Date
Considered

09/13/2007

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Transmittal is attached.

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Sheet 2 of 3

Application Number	1:676 291
Filing Date	Sept. 30 2003
First Named Inventor	Jones
Art Unit	3609
Examiner Name	All. m
Attorney Docket Number	006878 114501

U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TMA		Number-Kind Code ¹ <small>SP invents</small>			
	US	2003/023758	12-25-2003	Lange	
	US	2004/0005520	01-08-2004	Birle, Jr. et al.	
	US	5,704,045	12-30-1997	Hing et al.	
	US	5,742,775	04-21-1998	Kirk	
	US	5,802,501	07-01-1998	Graf	
	US	6,061,562	05-09-2000	Makivic	
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	US	6,321,212	11-20-2001	Lange	
	US	6,346,375	04-08-2003	Park et al.	
	US	6,061,662	05-09-2000	Makivic, et al.	
	US				
US					
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FOREIGN PATENT DOCUMENTS

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Signature**

/Mohamed Ali/

Date
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10 676 297
		Filing Date	SEP 30 2003
		First Named Inventor	JONES
		Art Unit	3609
		Examiner Name	ALI, M.
Sheet 3 of 3	Attorney Docket Number	006878-114501	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/MA/		ISDA Letter to Mr. Timothy Gueis, Director of Research and Technical Activities, FASB, April 30, 2001	
		The Analyst's Accounting Observer Letter to Mr. Timothy Gueis, FASB, May 3, 2001	
		Six Batch of Proposed IASB Implementation Guidance Questions and Answers, Draft issued for comment on June 23, 2001	
		Exposure Draft of Proposed Amendments to IAS 32 and IAS 39, dated 2002	
		Intermediate Accounting by Loren Nikolai and John Buxley PWS-Kent Publishing Company 5th Edition, 1991 pp. 1183-1205	
		Sam's Teach Yourself Excel 97 in 10 Minutes by Jennifer Fulton Sam's, 1998 pp. 152-157	
/MA/			

Examiner Signature	/Mohamed Ali/	Date Considered	09/13/2007
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